



## **Privacy Notice of LevelX Capital , LLC**

We collect nonpublic personal information about you, including your name, address, account balances and transaction information, from your account application and other forms that you may deliver to us, and from your transactions with us and our affiliates. This is information that regulators consider necessary for the proper servicing of your account. In order to execute your transactions and service your account properly, we may disclose all of the information that we collect, as described above, to firms that assist us in servicing your account, such as the clearing broker- dealer.

All records containing your nonpublic personal information are kept at our principal place of business and may also be kept by our service provider(s), and affiliates. In connection with servicing your account, your nonpublic personal information may be shared among the entities named in this notice, their affiliates, and non-affiliates who provide services regarding or relating to your account, including transfer agents or other service companies. Our affiliates and any non-affiliated service providers are required to protect the confidentiality of your information and to use the information only for the purposes for which disclosure to them is made. Service providers restrict access to nonpublic personal information about you to those employees, who need to know that information to provide products and services to you. We, our affiliates, and service providers use maintain physical, electronic, and procedural safeguards to maintain the security of your nonpublic personal information.

We do not sell nonpublic personal information. We do not disclose nonpublic personal information about customers to non-affiliated third parties, except as described in this Privacy Notice or except as permitted or required by law.

In the event that you close your account or become an inactive customer, we will adhere to the privacy policies and practices as described in this Privacy Notice.